

# **RISK MANAGEMENT POLICY**

**Oriental Trimex Limited**

## **1. Preamble**

Oriental Trimex Limited recognizes that effective risk management is essential to achieve its strategic objectives, protect stakeholder value and ensure sustainable growth. This Policy provides a structured framework to identify, assess, monitor and mitigate risks across the organization.

## **2. Objective**

The objectives of this Policy are to:

- Identify and evaluate key risks impacting the Company
- Establish appropriate risk mitigation and control measures
- Integrate risk management with business planning and decision-making
- Comply with applicable laws and regulations
- Enhance operational resilience and governance standards

## **3. Applicability**

This Policy applies to:

- Board of Directors
- Committees of the Board
- Key Managerial Personnel (KMPs)
- Senior Management
- All departments and operations of the Company

## **4. Risk Management Framework**

The Company follows a structured risk management process comprising:

1. **Risk Identification**
2. **Risk Assessment & Prioritization**
3. **Risk Mitigation & Control**
4. **Monitoring & Review**



## 5. Reporting & Disclosure

### 5. Types of Risks

The Company identifies and manages, inter alia, the following risks:

#### 5.1 Strategic Risks

- Market competition
- Business expansion decisions
- Long-term sustainability risks

#### 5.2 Operational Risks

- Manufacturing and processing risks
- Supply chain disruptions
- Equipment breakdown and safety incidents

#### 5.3 Financial Risks

- Liquidity risk
- Credit risk
- Foreign exchange risk
- Cost escalation

#### 5.4 Compliance & Legal Risks

- Regulatory non-compliance
- Statutory penalties
- Litigation and contractual risks

#### 5.5 Environmental, Health & Safety (EHS) Risks

- Environmental impact of mining and processing
- Workplace safety hazards
- Pollution control and compliance risks

#### 5.6 Reputational Risks

- Negative publicity
- Stakeholder dissatisfaction
- ESG-related concerns

## 6. Risk Assessment



- Identified risks shall be assessed based on:
  - Likelihood of occurrence
  - Impact on operations, finance and reputation
- Risks shall be categorized as **High, Medium or Low**

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## 7. Risk Mitigation Measures

The Company shall:

- Implement internal controls and standard operating procedures
- Maintain insurance coverage where appropriate
- Ensure statutory and regulatory compliance
- Develop contingency and business continuity plans
- Conduct regular safety and compliance audits

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## 8. Roles & Responsibilities

### 8.1 Board of Directors

- Oversee the overall risk management framework
- Review key risks and mitigation strategies
- Ensure adequacy of internal controls

### 8.2 Audit Committee / Risk Committee

- Monitor risk management practices
- Review internal audit findings and risk reports

### 8.3 Management

- Identify and manage risks within respective functions
- Implement mitigation measures
- Report significant risks to the Board

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## 9. Monitoring & Reporting

- Risks and mitigation status shall be reviewed periodically
- Significant risks shall be reported to the Board
- Continuous monitoring shall be undertaken to address emerging risks



## **10. Disclosure**

- Material risks shall be disclosed in:
  - Annual Report
  - Board's Report
  - Other regulatory filings, as applicable

## **11. Compliance with Laws**

This Policy is framed in accordance with:

- Companies Act, 2013
- SEBI (LODR) Regulations
- Other applicable laws and guidelines

In case of any conflict, statutory provisions shall prevail.

## **12. Policy Review & Amendment**

- This Policy shall be reviewed periodically by the Board
- Amendments shall be made to align with changes in law, regulations or business environment

**For Oriental Trimex Limited**

